


# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference MBZ-0513/PCT	FOR FURTHER ACTION See Form PCT/PEA/416	
International application No. PCT/EP2004/001229	International filing date (day/month/year) 10.02.2004	Priority date (day/month/year) 28.02.2003
International Patent Classification (IPC) or national classification and IPC E21D9/06		
Applicant CONSTRUCTION RESEARCH & TECHNOLOGY GMBH et al.		
<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input type="checkbox"/> sent to the applicant and to the International Bureau a total of sheets, as follows:</p> <p><input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p>		
<p>4. This report contains indications relating to the following items:</p> <p><input checked="" type="checkbox"/> Box No. I Basis of the opinion</p> <p><input type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p>		
Date of submission of the demand  03.07.2004	Date of completion of this report  01.02.2005	
Name and mailing address of the international preliminary examining authority:   European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016	Authorized Officer  Garrido Garcia, M  Telephone No. +31 70 340-4468	



10/546551

JC20 Rec'd PCT/PTO 23 AUG 2005

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**Box No. I Basis of the report**

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1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
  - ☐ This report is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of:
    - ☐ international search (under Rules 12.3 and 23.1(b))
    - ☐ publication of the international application (under Rule 12.4)
    - ☐ international preliminary examination (under Rules 55.2 and/or 55.3)
2. With regard to the elements\* of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:

**Description, Pages**

1-4 as originally filed

**Claims, Numbers**

1-4 as originally filed

- ☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing
3. ☐ The amendments have resulted in the cancellation of:
    - ☐ the description, pages
    - ☐ the claims, Nos.
    - ☐ the drawings, sheets/figs
    - ☐ the sequence listing (*specify*):
    - ☐ any table(s) related to sequence listing (*specify*):
  4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
    - ☐ the description, pages
    - ☐ the claims, Nos.
    - ☐ the drawings, sheets/figs
    - ☐ the sequence listing (*specify*):
    - ☐ any table(s) related to sequence listing (*specify*):

\* If item 4 applies, some or all of these sheets may be marked "superseded."

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**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

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**1. Statement**

Novelty (N)	Yes: Claims	
	No: Claims	1-4
Inventive step (IS)	Yes: Claims	
	No: Claims	1-4
Industrial applicability (IA)	Yes: Claims	1-4
	No: Claims	

**2. Citations and explanations (Rule 70.7):**

**see separate sheet**

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International application No.

INTERNATIONAL PRELIMINARY  
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Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability;  
citations and explanations supporting such statement

Reference is made to the following document:

D1: FR-A-2 690 709

**Novelty**

The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 1 to 4 is not new in the sense of Article 33(2) PCT.

The document D1 discloses (the references in parentheses applying to this document):  
A method of boring a tunnel by means of an earth pressure balance tunnel boring machine (see page 2, lines 32 to 35), comprising the injection at the cutting head of a foamed aqueous solution (see page 3, lines 10 to 14) and an aqueous solution of a water-soluble acrylic acid-based polymer (see page 5, lines 7 to 12). Thus, all the features of **claim 1** are anticipated by document D1.

Also in D1 are the foamed aqueous solution and the aqueous solution of a water-soluble acrylic acid-based polymer added as a single material, as claimed in **claim 2** (see page 5, lines 31 to 35).

In addition to what was pointed out in the discussion of claim 1, document D1 discloses a composition wherein sulphonates are used as an anionic surfactant (see page 4, line 29). Therefore, **independent claim 3** is also not new.

A lauryl ether sulfate is also used in document D1 for a foaming solution (see page 7, line 7). Therefore, **claim 4** is not new.

**Other requirements**

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Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the document D1 is not mentioned in the description, nor is this document identified therein.